

J1054 U.S. PTO
09/25/01

610 Newport Center Drive
Suite 300

Newport Beach
California 92660

T: 949-718-5200
F: 949-718-6799

Austin, TX
San Francisco, CA
San Jose, CA

skjerven morrill
macpherson LLP

Docket No.: M-11978 US

September 25, 2001

Box Patent Application
Commissioner For Patents
Washington, D. C. 20231

Enclosed herewith for filing is a patent application, as follows:

Inventor(s): David Gok Louie, Jai-Jein Yu; Deming Wu

Title: System And Method For Configuring And Viewing Audit Trails In An Information Network

X Return Receipt Postcard
X This Transmittal Letter (in duplicate)
19 page(s) Specification (not including claims)
5 page(s) Claims
1 page Abstract
11 Sheet(s) of Drawings
2 page(s) Declaration For Patent Application and Power of Attorney
1 page(s) Recordation Form Cover Sheet (in duplicate)
1 page(s) Assignment
1 page Request And Certification Under 35 U.S.C. 122(b)(2)(B)(I) (NonPublication Request)

CLAIMS AS FILED

For	Number Filed	Number Extra	Rate	Basic Fee
Total Claims	37	-20 =	17 x \$ 18.00	\$ 710.00
				\$ 306.00
Independent Claims	5	-3 =	2 x \$80	\$ 160.00

- Fee of _____ for the first filing of one or more multiple dependent claims per application
 Fee for Request for Extension of Time

Please make the following charges to Deposit Account 19-2386:

- Total fee for filing the patent application in the amount of \$ 1,176.00
 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 19-2386.

EXPRESS MAIL LABEL NO:

EL922456732US

Respectfully submitted,

Mary Jo Bertani
Attorney for Applicant(s)
Reg. No. 42,321

J1046 U.S. PTO
09/963292



09/25/01

EXPRESS MAIL LABEL NO:
EL922456732US

MODIFIED PTO/SB/35 (11-00)

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	Inventors	Louie, David Gok; Yu, Jai-Jein Wu, Deming,
	Title	System And Method For Configuring And Viewing Audit Trails In An Information Network
	Atty Docket Number	M-11978 US

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

September 25, 2001

Date



Mary Jo Bertani
Attorney for Applicants
Reg. No.: 42,321

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

7 CFR 1.213(a) provides for a request that an application not be published under 35 U.S.C. 122(b). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. SEND TO Commissioner for Patents, Washington, DC 20231